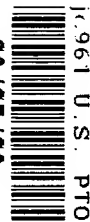


02/07/02



U.S. PTO

02-11-02

Practitioner's Docket No. 791_160 CIP1

PATENT

NEW APPLICATION TRANSMITTAL

JC978 U.S. PTO
10/072297

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on *February 7, 2002* under "EXPRESS MAIL" mailing label number EL87257951SUS.

Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

Transmitted herewith for filing is the patent application of:

Inventor 1: Toshikatsu KASHIWAYA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 2: Mutsumi KITAGAWA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Title: PIEZOELECTRIC ELEMENT AND PROCESS FOR PRODUCTION THEREOF

1. Type of Application

This is a request for filing a CONTINUATION-IN-PART APPLICATION under 37 C.F.R. §1.53(b), of pending prior application:

Serial No.:	09/953,674,	Prior Art Unit:	2834
Filed:	September 17, 2001,	Prior Examiner:	Not Assigned
Title:	PIEZOELECTRIC ELEMENT AND PROCESS FOR PRODUCTION THEREOF		
Inventors:	Toshikatsu KASHIWAYA and Mutsumi KITAGAWA.		

2. Papers enclosed

A. Required (in JAPANESE) for filing date under 37 CFR 1.53(b) (Regular) are:

27 Page(s) of Specification
5 Page(s) of Claims
1 Page(s) of Abstract
6 Sheets of Formal Drawings (Figs. 1(a)-8)

B. Other Papers Enclosed

☒ A Patent Application Bibliographic Data
☐ A Preliminary Amendment
☒ An Information Disclosure Statement
☒ PTO Form-1449

3. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ A Submission of Certified Copy of Priority Document and a certified copy of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2001-388,313	December 20, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
 2-56, Suda-Cho, Mizuho-Ku
 Nagoya, Aichi-Prefecture 467-8530
 Japan

4. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
 Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

5. Relate Back

A. 35 U.S.C. 119(e)

- [] Priority of provisional application Serial No. _____, filed _____, is hereby claimed under 35 U.S.C. §119(e).

B. 35 U.S.C. 120, 121 and 365(c)

- ☒ Priority of said prior application 09/953,674, filed September 17, 2001, is hereby claimed under 35 U.S.C. §120.

C. 35 U.S.C. 119 Priority Claim for Prior Application

- ☒ Priority of foreign application(s) is hereby claimed under 35 U.S.C. §119 as follows:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2000-286,115	September 20, 2000
Japan	2001-388,313	December 20, 2001

- ☒ A certified copy of **Japanese Application 2000-286,115** was filed in prior application Serial No. 09/953,674 on September 17, 2001.

- ☒ A certified copy of **Japanese Application 2001-388,313** will follow under separate cover.

10076297, 020702

6. ☒ Amend the specification by inserting after the title and before the first line the sentence:

--Cross Reference to Related Applications

This application is a continuation-in-part application of U.S. Application Serial No. 09/953,674 filed September 17, 2001, the entirety of which is incorporated herein by reference. This application also claims the benefit of Japanese Application 2000-286,115, filed September 20, 2000, and Japanese Application 2001-388,313, filed December 20, 2001, the entireties of which are incorporated herein by reference.--

7. **Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)**

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

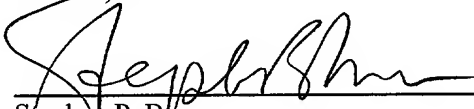
8. **The filing fee has been calculated as shown below:**

Basic Filing fee (37 CFR §1.16(a))				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	29 - 20 =	9	× \$ 18.00	\$ 162.00
Independent Claims	5 - 3 =	2	× \$ 84.00	\$ 168.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
Surcharge fees (37 C.F.R. 1.16(e)--\$130.00)				
<input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i>				\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath (37 C.F.R. 1.16(e)--\$130.00)				
TOTAL OF ABOVE CALCULATIONS =				\$ 1,200.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$ 1,200.00
<input checked="" type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				\$ 130.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h)--\$40.00)				\$ 40.00
TOTAL FEES DUE =				\$ 1,370.00

**9. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

February 7, 2002
Date

SPB/eav

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